## **RESEARCH LETTER**

# Feasibility of Shockwave Coronary Intravascular Lithotripsy for the Treatment of Calcified Coronary Stenoses

**First Description** 

he presence of calcified coronary plaque impacts interventional outcomes by impairing stent crossing, disrupting drug polymer from the stent surface,<sup>1</sup> affecting drug delivery and elution,<sup>2</sup> and reducing stent expansion and apposition.<sup>3</sup> Current therapies used to overcome these challenges, including high-pressure balloon dilation and atherectomy, have inherent limitations. Balloon dilation is limited in eccentric calcium where guidewire bias may direct force toward the noncalcified segments of the artery, or in concentric calcium where insufficient force fails to induce calcium fracture. Rotational and orbital atherectomy may also have guidewire bias, resulting in eccentric ablation or ablation of noncalcified segments. Although this may improve stent deliverability, the effect on deeper calcium restricting stent expansion may be limited. Moreover, periprocedural complications and periprocedural myocardial infarction (MI) are perceived to be higher with atherectomy than traditional balloonbased therapies.

We sought to determine the feasibility of coronary intravascular lithotripsy (IVL) as a novel modality for modification of heavily calcified atherosclerotic plaques before stenting. The Disrupt CAD I Study (Shockwave Coronary Rx Lithoplasty Study; NCT02650128) was a prospective multicenter, single-arm study approved by each institutional review board and all patients gave informed consent. Patients with a clinical indication for coronary intervention were required to have  $\geq 1$  lesion requiring percutaneous coronary intervention with a diameter stenosis  $\geq 50\%$ , native coronary artery lesion length  $\leq 32$  mm, and heavy calcification, defined as calcification within the lesion on both sides of the vessel assessed during angiography by the operator.

The Shockwave Medical coronary IVL catheter is a single-use sterile disposable catheter that contains multiple lithotripsy emitters enclosed in an integrated balloon. The emitters create sonic pressure waves for a circumferential field effect. These sonic pressure waves selectively fracture calcium, altering vessel compliance, while minimizing barotrauma attributable to low inflation pressure (4 atm), thus maintaining the fibroelastic architecture of the vessel wall. The coronary IVL catheter, available in 2.5- to 4.0-mm diameters and 12 mm in length, is connected via a connector cable to the generator that is preprogrammed to deliver 10 pulses in sequence at a frequency of 1 pulse/s for a maximum of 80 pulses per catheter. Angiography was used to determine the appropriate number of pulses for optimal vessel preparation. Subsequent stent implantation and percutaneous coronary intervention optimization was performed at the discretion of the operator.

The primary performance end point was clinical success, defined as the ability of IVL to produce a residual diameter stenosis <50% after stenting with no evidence of in-hospital major adverse cardiac event (MACE; cardiac death, Todd J. Brinton, MD\* Ziad A. Ali, MD, DPhil\* Jonathan M. Hill, MD Ian T. Meredith, MD, PhD Akiko Maehara, MD Uday Illindala, MS Alexandra Lansky, MD Matthias Götberg, MD, PhD Nicolas M. Van Mieghem, MD Robert Whitbourn, MBBS, BMedSc Jean Fajadet, MD Carlo Di Mario, MD, PhD

\*Drs Brinton and Ali contributed equally.

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MI [creatine kinase-muscle/brain >3× the upper limit of normal], or target vessel revascularization). The primary safety end point was freedom from MACE through 30 days defined as cardiac death, MI or target vessel revascularization. Both primary safety and clinical success end points, including the definition of MI, were selected to allow comparison with the primary end point of the Orbit II study (Evaluate the Safety and Efficacy of OAS in Treating Severely Calcified Coronary Lesions), a contemporary trial using orbital atherectomy for lesion preparation in severe coronary calcification.<sup>4</sup>

Between December 2015 and September 2016, 60 patients were enrolled at 7 hospitals in 5 countries. The core laboratory-adjudicated results are summarized in the Table. Median diameter stenosis on guantitative angiography was 72.5% (interguartile range, 58.5–77.0) with lesion length of 18.2 mm (interguartile range, 14.1–25.4) and severe calcification present in all patients. IVL was feasible, facilitating the delivery of stents in all patients, reducing stenosis to 12.2% (interguartile range, 6.7-20.5) with an acute gain of 1.7 mm (interguartile range, 1.3–2.1), achieving 95% clinical success (residual diameter stenosis <50% without in-hospital MACE). IVL had 3 periprocedural MIs, resulting in 95% freedom from MACE at 30 days. There were no unresolved dissections, slow-flow/ no-flow, embolization, or perforations. At 6 months, MACE was 8.3%. There were 2 cardiac deaths adjudicated as unlikely related to the technology within the 6-month follow-up period. There were no new MIs or target vessel revascularizations.

IVL offers a number of specific advantages. First, IVL requires no specific training in comparison with traditional atherectomy. Second, being balloon based, IVL may reduce the risk of atheromatous embolization in comparison with atherectomy devices, but this hypothesis requires direct testing. Third, IVL is not subject to guidewire bias; instead, sonic pressure waves are distributed uniformly across the inflated balloon, addressing calcium irrespective of its circumferential location leading to fracture as recently shown by us using optical coherence tomography.<sup>5</sup> Fourth, unlike traditional balloon-based high-static barometric pressure vessel preparation, IVL creates peak dynamic sonic mechanical energy lasting <2 µs in a balloon inflated at low pressures, minimizing vascular injury.

In conclusion, in this pilot single-arm study performed in patients with heavy coronary artery calcification who require revascularization, IVL appeared feasible with favorable initial success and complication rates. Larger studies will be needed to establish the efficacy and safety of this procedure and to determine whether calcium-modifying technologies impact clinical outcomes.

| able. | Study | Results |
|-------|-------|---------|

| Characteristics         Clinical         Age, y       72 (66, 79)         Male       80 (48)         Diabetes mellitus       30 (18)         Hypertension       80 (48)         Hyperlipidemia       80 (48)         Myocardial infarction       40 (24)         Previous CABG       23 (14)         CVA/TIA       13 (8)         Current smoker       15 (9)         Renal insufficiency       10 (6)         Angina classification       32 (19)         Class I       32 (19)         Class II       48 (29)         Class IV       3 (2)         Lesion       3 (2) | Characteristics and Outcomes    | N = 60         |  |  |
|---|---------------------------------|----------------|--|--|
| Clinical       Age, y     72 (66, 79)       Male     80 (48)       Diabetes mellitus     30 (18)       Hypertension     80 (48)       Hypertipidemia     80 (48)       Myocardial infarction     40 (24)       Previous CABG     23 (14)       CVA/TIA     13 (8)       Current smoker     15 (9)       Renal insufficiency     10 (6)       Angina classification     32 (19)       Class I     32 (19)       Class II     48 (29)       Class IV     3 (2)       Lesion     3 (2)   | Characteristics                 |                |  |  |
| Age, y     72 (66, 79)       Male     80 (48)       Diabetes mellitus     30 (18)       Hypertension     80 (48)       Hyperlipidemia     80 (48)       Myocardial infarction     40 (24)       Previous CABG     23 (14)       CVA/TIA     13 (8)       Current smoker     15 (9)       Renal insufficiency     10 (6)       Angina classification     32 (19)       Class I     32 (19)       Class II     48 (29)       Class IV     3 (2)       Lesion     3 (2)  | Clinical                        |                |  |  |
| Male80 (48)Diabetes mellitus30 (18)Hypertension80 (48)Hyperlipidemia80 (48)Myocardial infarction40 (24)Previous CABG23 (14)CVA/TIA13 (8)Current smoker15 (9)Renal insufficiency10 (6)Angina classification32 (19)Class I32 (19)Class II48 (29)Class IV3 (2)Lesion32 (19)  | Age, y                          | 72 (66, 79)    |  |  |
| Diabetes mellitus30 (18)Hypertension80 (48)Hyperlipidemia80 (48)Myocardial infarction40 (24)Previous CABG23 (14)CVA/TIA13 (8)Current smoker15 (9)Renal insufficiency10 (6)Angina classification32 (19)Class I32 (19)Class II48 (29)Class III17 (10)Class IV3 (2)Lesion32 (19)   | Male                            | 80 (48)        |  |  |
| Hypertension80 (48)Hyperlipidemia80 (48)Myocardial infarction40 (24)Previous CABG23 (14)CVA/TIA13 (8)Current smoker15 (9)Renal insufficiency10 (6)Angina classification32 (19)Class I32 (19)Class II48 (29)Class III17 (10)Class IV3 (2)  | Diabetes mellitus               | 30 (18)        |  |  |
| Hyperlipidemia80 (48)Myocardial infarction40 (24)Previous CABG23 (14)CVA/TIA13 (8)Current smoker15 (9)Renal insufficiency10 (6)Angina classification32 (19)Class I32 (19)Class II48 (29)Class III17 (10)Class IV3 (2)Lesion32 (19)  | Hypertension                    | 80 (48)        |  |  |
| Myocardial infarction40 (24)Previous CABG23 (14)CVA/TIA13 (8)Current smoker15 (9)Renal insufficiency10 (6)Angina classification32 (19)Class I32 (19)Class II48 (29)Class III17 (10)Class IV3 (2)Lesion31  | Hyperlipidemia                  | 80 (48)        |  |  |
| Previous CABG       23 (14)         CVA/TIA       13 (8)         Current smoker       15 (9)         Renal insufficiency       10 (6)         Angina classification       32 (19)         Class I       32 (19)         Class II       48 (29)         Class III       17 (10)         Class IV       3 (2)   | Myocardial infarction           | 40 (24)        |  |  |
| CVA/TIA       13 (8)         Current smoker       15 (9)         Renal insufficiency       10 (6)         Angina classification       32 (19)         Class I       32 (19)         Class II       48 (29)         Class III       17 (10)         Class IV       3 (2)         Lesion       32 (2)   | Previous CABG                   | 23 (14)        |  |  |
| Current smoker15 (9)Renal insufficiency10 (6)Angina classification32 (19)Class I32 (19)Class II48 (29)Class III17 (10)Class IV3 (2)Lesion17   | CVA/TIA                         | 13 (8)         |  |  |
| Renal insufficiency10 (6)Angina classification32 (19)Class I32 (29)Class II48 (29)Class III17 (10)Class IV3 (2)Lesion17   | Current smoker                  | 15 (9)         |  |  |
| Angina classification       Class I     32 (19)       Class II     48 (29)       Class III     17 (10)       Class IV     3 (2)       Lesion     17   | Renal insufficiency             | 10 (6)         |  |  |
| Class I   32 (19)     Class II   48 (29)     Class III   17 (10)     Class IV   3 (2)     Lesion  | Angina classification           |                |  |  |
| Class II   48 (29)     Class III   17 (10)     Class IV   3 (2)     Lesion  | Class I                         | 32 (19)        |  |  |
| Class III   17 (10)     Class IV   3 (2)     Lesion   | Class II                        | 48 (29)        |  |  |
| Class IV 3 (2)<br>Lesion  | Class III                       | 17 (10)        |  |  |
| Lesion  | Class IV                        | 3 (2)          |  |  |
|   | Lesion                          |                |  |  |
| Protected left main artery 2 (1)  | Protected left main artery      | 2 (1)          |  |  |
| Left anterior descending artery 47 (28)   | Left anterior descending artery | 47 (28)        |  |  |
| Circumflex artery 13 (8)  | Circumflex artery               | 13 (8)         |  |  |
| Right coronary artery 38 (23)   | Right coronary artery           | 38 (23)        |  |  |
| Reference vessel diameter, mm 3 (2.6, 3.2)  | Reference vessel diameter, mm   | 3 (2.6, 3.2)   |  |  |
| Minimum lumen diameter, mm 0.9 (0.6, 1.1)   | Minimum lumen diameter, mm      | 0.9 (0.6, 1.1) |  |  |
| Diameter stenosis, % 73 (59, 77)  | Diameter stenosis, %            | 73 (59, 77)    |  |  |
| Lesion length, mm 18 (14, 25)   | Lesion length, mm               | 18 (14, 25)    |  |  |
| Calcified length, mm 21 (12, 25)  | Calcified length, mm            | 21 (12, 25)    |  |  |
| Severe calcification 100 (60)   | Severe calcification            | 100 (60)       |  |  |
| Concentric 78 (47)  | Concentric                      | 78 (47)        |  |  |
| Eccentric 22 (13)   | Eccentric                       | 22 (13)        |  |  |
| Side branch involvement 28 (17)   | Side branch involvement         | 28 (17)        |  |  |
| Procedural details  | Procedural details              |                |  |  |
| Total procedure time, min 92 (70, 109)  | Total procedure time, min       | 92 (70, 109)   |  |  |
| Fluoroscopy time, min 27 (18, 41)   | Fluoroscopy time, min           | 27 (18, 41)    |  |  |
| Device time, min 8 (12,17)  | Device time, min                | 8 (12,17)      |  |  |
| Number of catheters2 (1, 2)   | Number of catheters             | 2 (1, 2)       |  |  |
| Number of pulses 72 (40, 120)   | Number of pulses                | 72 (40, 120)   |  |  |
| IVL pressure, atm 6 (6, 6)  | IVL pressure, atm               | 6 (6, 6)       |  |  |
| Number of stents used 1 (1, 2)  | Number of stents used           | 1 (1, 2)       |  |  |
| Predilation 37 (22)   | Predilation                     | 37 (22)        |  |  |
| Postdilation 87 (52)  | Postdilation                    | 87 (52)        |  |  |
| Outcomes  |                                 |                |  |  |
| Performance   | Performance                     |                |  |  |
| Clinical success 95 (57)  | Clinical success                | 95 (57)        |  |  |
| Device success 98 (59)  | Device success                  | 98 (59)        |  |  |

### Table. Continued

| Characteristics and Outcomes                           | N = 60         |  |
|--|----------------|--|
| Stent delivery   | 100 (60)       |  |
| Final in-stent angiographic outcomes (core laboratory) |                |  |
| Minimum lumen diameter, mm                             | 2.6 (2.3, 2.9) |  |
| Acute gain, mm   | 1.7 (1.3, 2.1) |  |
| Diameter stenosis, %                                   | 12 (7, 21)     |  |
| Residual diameter stenosis <50%                        | 100 (60)       |  |
| Residual diameter stenosis <30%                        | 92 (55)        |  |
| Residual diameter stenosis <20%                        | 73 (44)        |  |
| Clinical   |                |  |
| Final angiographic complications                       |                |  |
| Residual dissections                                   | 0 (0)          |  |
| Perforations   | 0 (0)          |  |
| Abrupt closure   | 0 (0)          |  |
| Slow flow  | 0 (0)          |  |
| No reflow  | 0 (0)          |  |
| MACE through 30 d                                      | 5 (3)          |  |
| Cardiac death  | 0 (0)          |  |
| Non–Q-wave MI  | 5 (3)          |  |
| Q-wave MI  | 0 (0)          |  |
| TVR  | 0 (0)          |  |
| MACE through 6 mo                                      | 8 (5)          |  |
| Cardiac Death  | 3 (2)          |  |
| Non-Q-Wave MI  | 5 (3)          |  |
| Q-wave MI  | 0 (0)          |  |
| TVR  | 0 (0)          |  |

Values are % (n) or median with interquartile range (25%, 75%). CABG indicates coronary artery bypass graft surgery; CVA, cerebrovascular accident; IVL, intravascular lithotripsy; MACE, major adverse cardiac event; MI, myocardial infarction; TIA, transient ischemic attack; and TVR, target vessel revascularization.

#### **ARTICLE INFORMATION**

Data Sharing: The data, analytics methods, and study materials will not be available to other researchers for the purposes of reproducing the results or replicating the procedure.

#### Correspondence

Todd J. Brinton, MD, Clinical Professor of Medicine, Stanford University, 318 Campus Dr, James Clark Center E-100, Stanford, CA 94305. Email tbrinton@ stanford.edu

#### Affiliations

Stanford University, CA (T.J.B.). NewYork-Presbyterian Hospital/Columbia University Medical Center (Z.A.A.). Cardiovascular Research Foundation, New York (Z.A.A., A.M.). King's College Hospital, London, UK (J.M.H.). Monash University, Melbourne, Australia (I.T.M.). Shockwave Medical, Fremont, CA (U.I.). Yale University School of Medicine, New Haven, CT (A.L.). St. Bartholomew's Heart Center, London, UK (A.L.). The William Harvey Research Institute, Queen Mary University of London, UK (A.L.). Skane University Hospital, Lund, Sweden (M.G.). Erasmus University, Rotterdam, The Netherlands (N.M.V.M.). St Vincent's Hospital, Melbourne, Australia (R.W.). Clinique Pasteur, Toulouse, France (J.F.). University Hospital Careggi, Florence, Italy (C.D.M.). Royal Brompton Hospital, London, UK (C.D.M.).

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#### **Disclosures**

Dr Ali reports grants from St. Jude Medical, personal fees from St. Jude Medical, personal fees from ACIST Medical, and personal fees from Cardiovascular Systems Inc outside the submitted work, and equity in Shockwave Medical. Dr Brinton is the cofounder of Shockwave Medical and reports personal fees from Shockwave Medical. Dr Maehara reports other support from St. Jude Medical during the conduct of the study and grants and personal fees from St. Jude Medical and Boston Scientific Corporation outside the submitted work. U. Illindala is a full-time employee of Shockwave Medical. Drs Hill, Meredith, Götberg, Lanksy, Van Mieghem, Whitbourn, Fajadet, and Di Mario have received institutional grants for the Disrupt CAD study.

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